



07-13-04

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PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/706,815
Filing Date	NOV. 12, 2003
First Named Inventor	VIG
Art Unit	U/A
Examiner Name	U/A
Attorney Docket Number	02A-03US1

Total Number of Pages In This Submission

32\*

## ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
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<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
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Remarks

IDS + 4 references  
29 double-sided pages for references

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Joseph E. Gortych
Signature	Joseph E. Gortych
Date	July 12, 2004

## CERTIFICATE OF TRANSMISSION/MAILING

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Typed or printed name	Joseph E. Gortych
Signature	Joseph E. Gortych
Date	7/12/04

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet	1	of	2
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**Complete if Known**

Application Number	10/706,815
Filing Date	NOV. 12, 2003
First Named Inventor	VIG
Art Unit	N/A
Examiner Name	N/A
Attorney Docket Number	029-0345

## U. S. PATENT DOCUMENTS

[illegible]

**FOREIGN PATENT DOCUMENTS**

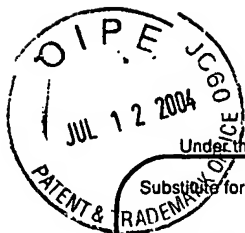
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			
		EPI 134925 A2	09-14-2001	Lucent Tech.	all	

Examiner Signature		Date Considered	
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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/706,815		
Filing Date	NOV. 12, 2003		
First Named Inventor	VIG		
Art Unit	N/A		
Examiner Name	N/A		
Attorney Docket Number	029-03US1		
Sheet	2	of	2

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Jin et al., "Feed back controlled variable optical attenuator for channel power regulation in WDM systems," Elec. Lett. Vol. 35 No. 11 May 27, 1999	

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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